



05/29/03

# GP 3623

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	09/348,515
		Filing Date	July 7, 1999
		First Named Inventor	Hiroshi Murakami et al.
		Group Art Unit	3623
		Examiner Name	Irshadullah, H.
Total Number of Pages in This Submission		Attorney Docket Number	22835-038

## ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Assignment Papers (for an Application) <input checked="" type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation <input checked="" type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CID, Number of CD(s) _____	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  Patent Application Fee Determination Record Form PTO/SB/06 (in duplicate) (1 pg.); Response to Office Action (53 pgs.); Redlined copy of Figure 14 (1 pg.); Information Disclosure Statement under 37 CFR 1.97 and Form PTO/SB/08A (15 pgs.); 159 Cited References; Certificate of Express Mailing (ET628386095US)(1 pg.); Check No.: 291128 in amount of \$180.00 (Fee for Information Disclosure Statement); and Return Postcard.
<input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Remarks	

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Manatt, Phelps & Phillips, LLP Ziye Joseph Zhou (Reg. No. 41,423)	RECEIVED
Signature		MAY 30 2003
Date	May 27, 2003	GROUP 3600

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: May 27, 2003

Typed or printed name	Sheena Hicks		
Signature		Date	May 27, 2003

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**SEE TRANSMITTAL**  
**for FY 2003**

Patent fees are subject to annual revision.

O P E  
MAY 7 2003  
PATENT & TRADEMARK OFFICE Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 180.00)

**Complete if Known**

Application Number	09/348,515
Filing Date	July 7, 1999
First Named Inventor	Hiroshi Murakami, et al.
Examiner Name	Irshadullah, H.
Art Unit	3623
Attorney Docket No.	22845-038

**METHOD OF PAYMENT (check all that apply)**
 Check  Credit card  Money Order  Other  None
 Deposit Account:Deposit Account Number  
Deposit Account Name

131241

The Commissioner is authorized to: (check all that apply)

 Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) during the pendency of this application  
 Charge fee(s) indicated below, except for the filing fee

to the above-identified deposit account.

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Small Entity

Fee Code (\$)	Fee	Fee Code (\$)	Fee Description	Fee Paid
1001 740	2001 370	Utility filing fee		
1002 330	2002 165	Design filing fee		
1003 510	2003 255	Plant filing fee		
1004 740	2004 370	Reissue filing fee		
1005 160	2005 80	Provisional filing fee		
<b>SUBTOTAL(1)</b>		<b>(\$)</b>	<b>0.00</b>	

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
			-20** =	X	= 0.00
			-3** =	X	= 0.00
				X	= 0.00

Large Entity	Small Entity	Fee Description
Fee Code (\$)	Fee Code (\$)	
1202 18	2202 9	Claims in excess of 20
1201 84	2201 42	Independent claims in excess of 3
1203 280	2203 140	Multiple dependent claim, if not paid
1204 84	2204 42	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent
<b>SUBTOTAL (2)</b>		<b>(\$)</b>
		<b>0.00</b>

\*or number previously paid, if greater; For Reissues, see above

**3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Code (\$)	Fee	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath		
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet		
1053 130	1053 130	Non-English specification		
1812 2,520	1812 2,520	For filing a request for ex parte reexamination		
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action		
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action		
1251 110	2251 55	Extension for reply within first month		
1252 400	2252 200	Extension for reply within second month		
1253 920	2253 460	Extension for reply within third month		
1254 1,440	2254 720	Extension for reply within fourth month		
1255 1,960	2255 980	Extension for reply within fifth month		
1401 320	2401 160	Notice of Appeal		
1402 320	2402 160	Filing a brief in support of an appeal		
1403 280	2403 140	Request for oral hearing		
1451 1,510	1451 1,510	Petition to institute a public use proceeding		
1452 110	2452 55	Petition to revive - unavoidable		
1453 1,280	2453 640	Petition to revive - unintentional		
1501 1,280	2501 640	Utility issue fee (or reissue)		
1502 460	2502 230	Design issue fee		
1503 620	2503 310	Plant issue fee		
1460 130	1460 130	Petitions to the Commissioner		
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)		
1806 180	1806 180	Submission of Information Disclosure Stmt		180.00
8021 40	8021 40	Recording each patent assignment per property (times number of properties)		
1809 740	2809 370	Filing a submission after final rejection (37 CFR 1.129(a))		
1810 740	2810 370	For each additional invention to be examined (37 CFR 1.129(b))		
1801 740	2801 370	Request for Continued Examination (RCE)		
1802 900	1802 900	Request for expedited examination of a design application		
Other fee (specify) _____				

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** **(\$)** **180.00****SUBMITTED BY**

Name (Print/Type)	Ziye J. Zhou	Registration No. (Attorney/Agent)	41,423	Telephone	650.812.1300
Signature	<i>Ziye J. Zhou</i>			Date	May 27, 2003

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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